



## Enhanced Competency Framework (ECF) on Retail Wealth Management (Core & Professional Level) Training and Examination Schedule – 2020/2021

### Important Notes:

1. HKIB has been appointed as the Professional Qualification Assessment Agency effective from 1 August 2020.
2. Learners who pass the examination under Assessment Agencies of Professional Qualifications with relevant work experience will be eligible to pursue a **QF-recognised Professional Qualification** (i.e. ARWP/CRWP) instead of a QF-recognised Certificate (i.e. Professional Certificate for Enhanced Competency Framework on Retail Wealth Management).
3. Learners who have attended the same training earlier are welcome to attempt the examinations in the below intakes.

For more details about Assessment Agencies for Professional Qualifications and Learning Programme Accreditation, please refer to [accreditation page](#) under HKIB website for reference.

Training: Q4 2020, Q1 – Q2 2021 IA CPD<sup>1</sup> HKIB CPD<sup>2</sup> SFC CPT WAM Programme<sup>3</sup> FLEX Learning<sup>4</sup>

Module Name	Module No.	Code	Date	Time	Language (Supplemented with English materials)	Application Deadline <sup>5</sup>	Fee <sup>6</sup> (HKD)
Essentials of Banking (Credit: 20)	3	HQRM003PP210101 FLEX Learning – Virtual Classroom	8-Jan-21 (Fri) 15-Jan-21 (Fri) 22-Jan-21 (Fri) 29-Jan-21 (Fri) 5-Feb-21 (Fri)	19:00 – 22:00	Cantonese	23-Dec-20 (Wed)	3,900
		HQRM003PP210401 FLEX Learning – Virtual Classroom	1-Apr-21 (Thu) 8-Apr-21 (Thu) 15-Apr-21 (Thu) 22-Apr-21 (Thu) 29-Apr-21 (Thu)	19:00 – 22:00		18-Mar-21 (Thu)	3,900
Investment and Asset Management: Product Solutions (Credit: 20)	5	HQRM005PP201201 FLEX Learning – Virtual Classroom	1-Dec-20 (Tue) 8-Dec-20 (Tue) 15-Dec-20 (Tue)	9:00 – 12:00, 13:00 – 16:00 on 1 Dec & 8 Dec 9:00 – 12:00 on 15 Dec		20-Nov-20 (Fri)	3,900
		HQRM005PP210201 FLEX Learning – Virtual Classroom	2-Feb-21 (Tue) 9-Feb-21 (Tue) 16-Feb-21 (Tue) 23-Feb-21 (Tue) 2-Mar-21 (Tue)	19:00 – 22:00		19-Jan-21 (Tue)	3,900
		HQRM005PP210501 FLEX Learning – Virtual Classroom	5-May-21 (Wed) 12-May-21 (Wed) 18-May-21 (Tue) 26-May-21 (Wed) 2-Jun-21 (Wed)	19:00 – 22:00		21-Apr-21 (Wed)	3,900
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	HQRM006PP210301 FLEX Learning – Virtual Classroom	3-Mar-21 (Wed) 10-Mar-21 (Wed) 17-Mar-21 (Wed) 24-Mar-21 (Wed) 31-Mar-21 (Wed)	19:00 – 22:00		17-Feb-21 (Wed)	3,900
		HQRM006PP210601 FLEX Learning – Virtual Classroom	1-Jun-21 (Tue) 8-Jun-21 (Tue) 15-Jun-21 (Tue) 22-Jun-21 (Tue) 28-Jun-21 (Mon)	19:00 – 22:00		17-May-21 (Mon)	3,900
Financial Planning and Wealth Management (Credit: 20)	7	HQRM007PP210501 FLEX Learning – Virtual Classroom	15-May-21 (Sat) 22-May-21 (Sat) 29-May-21 (Sat)	10:00 – 13:00, 14:00 – 17:00 on 15 May & 22 May 10:00 – 13:00 on 29 May		3-May-21 (Mon)	3,900

**Examination: Q4 2020, Q1 – Q3 2021**

Module Name	Module No.	Code	Date	Time	Application Deadline <sup>5</sup>	Fee <sup>6</sup> (HK\$)
<b>Essentials of Banking (Credit: 20)</b>	3	<b>EXAM-2020-00319</b>	26-Jan-21 (Tue)	19:00 – 21:30	23-Dec-20 (Wed)	1,060
		<b>EXAM-2020-00284</b>	18-Feb-21 (Thu)	19:00 – 21:30	15-Jan-21 (Fri)	1,060
		<b>EXAM-2020-00287</b>	13-Apr-21 (Tue)	19:00 – 21:30	12-Mar-21 (Fri)	1,060
		<b>EXAM-2020-00288</b>	17-Jun-21 (Thu)	19:00 – 21:30	14-May-21 (Fri)	1,060
<b>Investment and Asset Management: Product Solutions (Credit: 20)</b>	5	<b>EXAM-2020-00100</b>	17-Dec-20 (Thu)	19:00 – 21:30	17-Nov-20 (Tue)	1,060
		<b>EXAM-2020-00270</b>	19-Jan-21 (Tue)	19:00 – 21:30	18-Dec-20 (Fri)	1,060
		<b>EXAM-2020-00271</b>	23-Feb-21 (Tue)	19:00 – 21:30	22-Jan-21 (Fri)	1,060
		<b>EXAM-2020-00311</b>	25-Jun-21 (Fri)	19:00 – 21:30	21-May-21 (Fri)	1,060
		<b>EXAM-2020-00313</b>	14-Sep-21 (Tue)	19:00 – 21:30	13-Aug-21 (Fri)	1,060
<b>Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)</b>	6	<b>EXAM-2020-00296</b>	27-Jul-21 (Tue)	19:00 – 21:30	21-May-21 (Fri)	1,060
		<b>EXAM-2020-00299</b>	21-Oct-21 (Thu)	19:00 – 21:30	17-Sep-21 (Fri)	1,060
<b>Financial Planning and Wealth Management (Credit: 20)</b>	7	(Multiple Choice) <b>EXAM-2020-00218</b>	26-Feb-21 (Fri)	TBC	22-Jan-21 (Fri)	1,060 <sup>8</sup>
		(Case & Oral) <sup>7</sup> <b>EXAM-2020-00219</b>	28-Feb-21 (Sun)	TBC	22-Jan-21 (Fri)	
		(Multiple Choice) <b>EXAM-2020-00304</b>	24-Sep-21 (Fri)	TBC	20-Aug-21 (Fri)	1,060 <sup>8</sup>
		(Case & Oral) <sup>7</sup> <b>EXAM-2020-00305</b>	26-Sep-21 (Sun)	TBC	20-Aug-21 (Fri)	

**Special Note:**

- Learners are required to complete the training before attempting the examination
- For Modules 1, 2 & 4, please contact HKIB for further details



### Programme Details

- Programme/  
Module Outline : Please refer to the programme brochure and the handbook for details.
- Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, HK (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment : By email at [application@hkib.org](mailto:application@hkib.org) or submit to our institute in person or by mail

### Notes:

Note	Category	Details
1 & 2	CPD	- IA CPD will be applicable to Modules 3, 5, 6 & 7 only - By attending the above training, Professional Qualification holders of CB (Stage I), CB (Stage II), CB, CFMP, ARWP, CRWP, ACsP, ACRP, CCRP(CL) and CCRP(CPM) are eligible to claim HKIB CPD hours.
3	WAM	The programme has been included in the list of reimbursable courses for WAM pilot programme. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: <a href="http://www.wamtalent.org.hk">www.wamtalent.org.hk</a> .
4	FLEX Learning	The training sessions will be conducted via virtual classroom training delivery mode. Participants can use their own PC or mobile device, and do not need to come to our premises to attend the training.
5	Late Application	A late entry fee of HKD200 will apply for late training and examination enrolment respectively.
6	Fee Discount	HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
7	Examination (Time)	Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
8	Examination (Fee)	The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HKD1,060 respectively.
9	Others	HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

### For General Enquiries / Feedback:

Address: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C)

Tel: (852) 2153 7800

Email: [ecf@hkib.org](mailto:ecf@hkib.org)